# The Freedom of Information Act (5 USC 552)

### FOIA Exemptions

- (b)(1) Information specifically authorized by an executive order to be kept secret in the interest of national defense or foreign policy. Executive Order 13526 includes the following classification categories:
  - 1.4(a) Military plans, systems, or operations
  - 1.4(b) Foreign government information
  - 1.4(c) Intelligence activities, sources or methods, or cryptology
  - 1.4(d) Foreign relations or foreign activities of the US, including confidential sources
  - 1.4(e) Scientific, technological, or economic matters relating to national security, including defense against transnational terrorism
  - 1.4(f) U.S. Government programs for safeguarding nuclear materials or facilities
  - 1.4(g) Vulnerabilities or capabilities of systems, installations, infrastructures, projects, plans, or protection services relating to US national security, including defense against transnational terrorism
  - 1.4(h) Weapons of mass destruction
- (b)(2) Related solely to the internal personnel rules and practices of an agency
- (b)(3) Specifically exempted from disclosure by statute (other than 5 USC 552), for example:

ARMSEXP	Arms Export Control Act, 50a USC 2411(c)
CIA PERS/ORG	Central Intelligence Agency Act of 1949, 50 USC 403(g)
EXPORT CONTROL	Export Administration Act of 1979, 50 USC App. Sec. 2411(c)
FS ACT	Foreign Service Act of 1980, 22 USC 4004
INA	Immigration and Nationality Act, 8 USC 1202(f), Sec. 222(f)
IRAN	Iran Claims Settlement Act, Public Law 99-99, Sec. 505

- (b)(4) Trade secrets and confidential commercial or financial information
- (b)(5) Interagency or intra-agency communications forming part of the deliberative process, attorney-client privilege, or attorney work product
- (b)(6) Personal privacy information
- (b)(7) Law enforcement information whose disclosure would:
  - (A) interfere with enforcement proceedings
  - (B) deprive a person of a fair trial
  - (C) constitute an unwarranted invasion of personal privacy
  - (D) disclose confidential sources
  - (E) disclose investigation techniques
  - (F) endanger life or physical safety of an individual
- (b)(8) Prepared by or for a government agency regulating or supervising financial institutions
- (bX9) Geological and geophysical information and data, including maps, concerning wells

### Other Grounds for Withholding

NR Material not responsive to a FOIA request excised with the agreement of the requester



C06845991 FIED U.S. Department of State Case No. F-2019-06332 Doc No. C06845991 Date: 11/22/2019

# NO DISCERNIBLE CLASSIFICATION

John Herbst			B6
Monday, April 0	8, 2019 12:39 PM		
Hale, David			
rpopadiuk	spifer	pascualce	wtaylor
John and Marie	lla Tefft		
For Your Consid	leration	-	
Letter to Under	Secretary Hale.pdf	*	
			6
	Monday, April 0 Hale, David rpopadiuk John and Marie For Your Consid	Monday, April 08, 2019 12:39 PM Hale, David	Monday, April 08, 2019 12:39 PM Hale, David rpopadiuk spifer pascualce John and Mariella Tefft For Your Consideration

PART B6

NO DISCERNIBLE CLASSIFICATION

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C06846013 FIED U.S. Department of State Case No. F-2019-06332 Doc No. C06846013 Date: 11/22/2019

Page 1 of 1

John Her	bst   Director, Eurasia C	enter B6	RELEASE IN PART B6
Ambassado	or (Ret.)		
1030 15th	Street, NW, 12th Floor	Washington, DC 20005	5
T:	Cell:	Email:	
www.faceb	ook.com/AtlanticCounc	il   @JohnEdHerbst   :	www.AtlanticCouncil.org

C06846011 IED U.S. Department of State Case No. F-2019-06332 Doc No. C06846011 Date: 11/22/2019

## NO DISCERNIBLE CLASSIFICATION

From:	Hale, David	R	ELEASE IN PART	
Sent:	Monday, April 08, 2019 13	2:44 PM B	6	
To:	Brechbuhl, Thomas U			
Cc:	Reeker, Philip T	•		
Subject:	Fwd: For Your Considerat	ion	• • •	
Attachments:			cretary Hale.pdf; ATT00002	2.htn
FYI				
Sent from my iPhone			nv.	
			, ,	
Begin forwarded message:				
From: John Herbst				
Date: April 8, 2019 a	t 12:38:39 PM EDT	•	Tell and the second	
To: "haledm				7
Cc: "rpopadiuk	4	" <u>spifer</u>		
"pascualce		"wtaylor	John a	ind
Mariella Teffi				
Subject: For Your Co	onsideration			
Dear David,		1 17 17	يرط باموفوم لمماسي مستدرين	, tha
Attached is a letter s	signed by five of our colleague	and myself regarding	the ungrounded attack by	ine .
Ukrainian Prosecuto	or General on our Embassy in K	yiv and Ambassador Yo	vanovitch. This attack was	5 111
	e Ambassador and Embassy's	strong advocacy of Was	inington policy on reform	
issues. Thank you fo	or our attention to this this.			
A072	ctions and would like further in	iformation, we are at you	our disposal.	
	stions and would like further in			
If you have any ques Best,	Stions and would like further in			

NO DISCERNIBLE CLASSIFICATION

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B6

From: Sent:	Reeker, Philip T Monday, April 08, 2019 7:37 PM	RELEASE IN PART B5,B6	
To:	Brechbuhl, Thomas U		
Subject:	Fw: Six former Ambassadors to Ukrai	ne sign letter of support to	P and C
Attachments:	Letter to Under Secretary Hale.pdf		*
Ulrich,			
Thanks for meeting this afternoon	on.		
	1		
	· · · · · · · · · · · · · · · · · · ·		
		pro-	
I'm reachable over this period	in Stuttgart, Brussels, Ramstein, and	Berlin.	
Best, Phil			
•			
Sent from my BlackBerry 10 sma	artphone.		
Attached is a letter sign	ed by five of our colleagues and mysel	f regarding the ungrounded	d attack by the
	eneral on our Embassy in Kyiv and Aml		
	mbassador and Embassy's strong advo	cacy of Washington policy	on reform
issues. Thank you for ou	ir attention to this this.		
If you have any question	ns and would like further information,	we are at your disposal.	
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			
Best,			
John Herbst			
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	NO DISCERNIBLE CLASSIFIC	CATION	1
UNCLASSIFIED U.S. Depart	ment of State Case No. F-2019-06	3332 Doc No. C0684600	09 Date: 11/22/2019

AMERICAN OVERSIGHT

NO DISCERNIBLE CLASSIFICATION

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UNCLASSIFIED U.S. Department of State Case No. F-2019-06332 Doc No. C06846009 Date: 11/22/2019

RELEASE IN PART B6

From:

John Herbst

Sent:

Tuesday, April 09, 2019 3:16 AM

To:

Brechbuhl, Thomas U

Cc:

Fwd: For Your Consideration

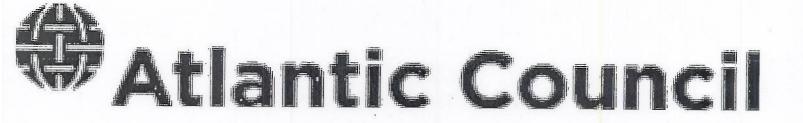
Attachments:

Subject:

image003.jpg; ATT00001.htm; Letter to Under Secretary Hale.pdf; ATT00002.htm

**B6** 

RELEASE IN PART



UNCLASSIFIED U.S. Department of State Case No. F-2019-06332 Doc No. C06845998 Date: 11/22/2019



Page 1 of 1

B6 ·

John Herb	ost   Director, Eurasia C	Center
Ambassado	r (Ret:)	
1030 15th S	treet, NW, 12th Floor	Washington, DC 20005
T:	Cell:	Email:
	ook.com/AtlanticCounc	il   @JohnEdHerbst

_	, Distant	D:1		
From:	· Hale,	day, April 15, 2019 10:54 AM	, DELE	ASE IN PART
Sent:	· ·	184, ADIII 13, 2019 10.34 AIVI	B6	ASE IN PART
Subject:	FW/· F	or Your Consideration		
Attachments:		r to Under Secretary Hale.pd	lf	
Actaemments.	Lette	to onder secretary materials	,	
Not sure what to d	o with this			
From: John Herbst				
Sent: Monday, Apr	il 8, 2019 12:39 PM			
To: Hale, David				
Cc: rpopadiuk	; <u>spifer</u>	pascualce	wtaylor	John and Mariella Tefft;
Prosecutor General Ambassador and Ethis.	r signed by five of ou Il on our Embassy in mbassy's strong adv	Kyiv and Ambassador Yovan	ovitch. This attack on reform issues.	nded attack by the Ukrainian was in fact prompted by the Thank you for our attention to this
John				
John Herbst   Dire Ambassador (Ret.) 1030 15th Street,	NW, 12th Floor   Wa	shington, DC 20005		
T:	Cell:	Email:		

**B6** 

www.facebook.com/AtlanticCouncil | @JohnEdHerbst | www.AtlanticCouncil.org

C06846020 FIED U.S. Department of State Case No. F-2019-06332 Doc No. C06846020 Date: 11/22/2019

## NO DISCERNIBLE CLASSIFICATION

From:	Brechbuhl, Thomas U	RELEASE IN PART B6	
Sent:	Tuesday, April 09, 2019 11:59 PM		
To:	John Herbst		
Cc:			
Subject:	Re: For Your Consideration		
			HILLY &
Thank you for your conce	rn and offer. It's much appreciated.		
Ulrich			
T. Ulrich Brechbühl			
Counselor, U.S. Departme	ent of State		
On Apr 9, 2019, at 2:19 A	M, John Herbst	wrote:	
Dear Mr. Brechbi	ıbl		
ocal IVII. brechoo	am,		-
We provide this f	or your information. As we offered David, w	e would be happy to provid <mark>e</mark> fu	rther
information to yo	ou if you would like.		•
			•
Thanks for your a	ittention to this.		
Best,			
John			
	F		
	From: John Herbst		•
	Date: April 8, 2019 at 12:38:39 PM El	TC .	
	To: "haledm	-	
	Cc: "rpopadiuk		

Date: April 8, 2019 at 12:38:39 PM EDT

To: "haledm
Cc: "rpopadiuk
"spifer
"pascualce
"wtaylor John and
Mariella Tefft

Subject: For Your Consideration

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Dear David,

Attached is a letter signed by five of our colleagues and myself regarding the ungrounded attack by the Ukrainian Prosecutor General on our Embassy in Kyiv and Ambassador Yovanovitch. This attack was in fact prompted by the Ambassador and Embassy's strong advocacy of Washington policy on reform issues. Thank you for your attention to this.

If you have any questions and would like further information, we are at your disposal.

NO DISCERNIBLE CLASSIFICATION

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B6



# Best, John John Herbst | Director, Eurasia Center Ambassador (Ret.) 1030 15th Street, NW, 12th Floor | Washington, DC 20005 T: | Cell: Email: www.facebook.com/AtlanticCouncil | @JohnEdHerbst | www.AtlanticCouncil.org

NO DISCERNIBLE CLASSIFICATION

2

The Hon. David Hale Under Secretary for Political Affairs 2201 C St NW Washington, DC 20520

# **RELEASE IN FULL**

April 5, 2019

Dear Under Secretary Halc:

We are former US Ambassadors to Ukraine who have served under both Republican and Democratic presidents, and who follow events in Ukraine carefully. We are deeply concerned by recent uncorroborated allegations questioning the work of the US Embassy in Kyiv and Ambassador Marie Yovanovitch. These charges are simply wrong.

Two articles by John Solomon -- in The Hill over the past several weeks and echoed in other media - claim without credible evidence, that the US Embassy in Kyiv under Yovanovitch interfered in the Prosecutor General of Ukraine's ability to investigate anti-corruption cases. Based on hearsay, the articles also claim that the ambassador criticized President Donald Trump in talks with Ukrainian interlocutors. There are several problems with these claims.

First, Ambassador Yovanovitch is an esteemed career diplomat who has served both Republican and Democratic presidents. She was appointed ambassador to Ukraine by President Barack Obama. President George W. Bush appointed her as ambassador to both Kyrgyzstan and Armenia. She has a flawless record as a diplomat. We know her well. She is a professional of the highest integrity.

The second problem is that the articles evidence no familiarity with Ukrainian politics. Solomon takes the word of Ukraine's Prosecutor General at face value and describes him as a reformer. But many Ukrainian and foreign observers regard his reform record as prosecutor general as, at best, mixed.

Finally, the charges that Ambassador Yovanovitch badmouthed President Trump come from a letter that then-Congressman Pete Sessions sent to Secretary of State Mike Pompeo last May. As you know, the Secretary took no action, presumably because there was no evidence.

The alleged behavior attributed to Ambassador Yovanovitch are simply foreign to her ethics and professional demeanor. But we know that there are vested interests in Ukraine clearly unhappy with her championing the US government's strong reform advocacy, and these vested interests are not above smear tactics.

If the attack on Ambassador Yovanovitch were to gain traction, it would be an injustice against her and against reformers in Ukraine. Millions of Ukrainians took to the streets in the 2014 Maidan Revolution to bring transparency and accountability to Ukrainian politics. An alliance to sustain this momentum ensued -- of civil society (NGOs like the Anti-Corruption Action Center and Transparency International Ukraine); reformers at the ministerial level (like former Finance Minister Natalie Jaresko, former Finance Minister Oleksandr Danylyuk, Naftohaz Chief Andriy Kobolyev, and Health Minister Ulana Suprun); and the international community (including the IMF, the EBRD, the United States, and the European Union). This alliance has made it easier for the top leadership – President Poroshenko and first Prime Ministers Yatsenyuk and then Groisman – to make difficult reform decisions. Progress has not always been even, but the direction toward a democratically controlled Ukraine is profound.

The stories against the US Embassy and Ambassador Yovanovitch were partly designed to weaken that alliance; if the alliance is weaker, it will be harder to take effective action against corruption. Simple justice demands that that not happen.

UNCLASSIFIED U.S. Department of State Case No. F-2019-06332 Doc No. C06846010 Date: 11/22/2019



Further, allowing these stracks on a US ambassador in the field to stand v how strong rebutted from senior officials in the US government weakens the structure of our diplomatic engagement.

We look forward to bear a support

We coust that we are preaching to the chois. Figure wanted to be some that you I we our views.

fincesely,

Roman Popadiuk

Freet U.S. Air bassados to Uloreina

John Herbst

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Fifth U.S. Ambanudoe to ! Thenine

Steven Pifer

Third U.S Ambassad -- to Ulmine

Carle: Pascaul

Fourth U.S. Ambassador to Ulmine

William Taylor

Sixth U.S A ibasendor to Ultraine

I Tefft

Seventh U.S. Ambassador to Ukmine

ce Counselor T. Ulrich Browbahl

The Hon. David Hale Under Secretary for Political Affairs 2201 C·St NW Washington, DC 20520

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UNCLASSIFIED U.S. Department of State Case No. F-2019-06332 Doc No. C06846008 Date: 11/22/2019



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We must that we are preaching to the choit. But we wanted to be sure that you have our views.

fincencly,

Roman Popadiuk

First U.S. Arabassador to Ukraine

John Herbst Fifth U.S. As

Fifth U.S. Ambassadoe to !/kmine

Steven Pifer

Third U.S Ambassad -- to Ukraine

William Taylor

Sixth U.S. A "shareader to Ukraine

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Fourth U.S. Ambassador to Ukraine

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Seventh U.S. Ambassador to Ukraine

on Counselor T. Ulrich Brownbuhl

UNCLASSIFIED U.S. Department of State Case No. F-2019-06332 Doc No. C06846008 Date: 11/22/2019



The Hon. David Hale Under Secretary for Political Affairs 2201 C St NW Washington, DC 20520

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April 5, 2019

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UNCLASSIFIED U.S. Department of State Case No. F-2019-06332 Doc No. C06846014 Date: 11/22/2019



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Cincerely,

Roman Popadiuk

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Cock : Pasconi

Steven Pifer

Fourth U.S. Ambassador to Ukmine

] Tefft

Seventh U.S. Ambassador to Ukraine

on Courselot T. Ulrich Brown buhl

The Hon. David Hale Under Secretary for Political Affairs 2201 C St NW Washington, DC 20520

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UNCLASSIFIED U.S. Department of State Case No. F-2019-06332 Doc No. C06845995 Date: 11/22/2019



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on Counselor T. Ulrich Brack buhl

UNCLASSIFIED U.S. Department of State Case No. F-2019-06332 Doc No. C06845995 Date: 11/22/2019

